IFW



Patent Case No.: 58829US002

## IN THE CRITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

YANG, LIZHANG

Application No.:

10/687195

Group Art Unit:

2872

Filed:

October 16, 2003

Examiner:

Unknown

Title:

APPARATUS AND METHOD FOR TRANSITIONING FIBER OPTIC CABLES

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

12-02-04

Signed by: Melanie G

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual

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designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

A copy of the Search Report from a foreign counterpart application is enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

Dec. 2, 2004

Date

Melanie G. Gover, Reg. No.: 41,793 Telephone No.: (512) 984-4308

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Application Number	10/687195 October 16, 2003		
Filing Date			
First Named Inventor	Yang, Lizhang		
Art Unit	2872		
Examiner Name	Unknown		
Attorney Case Number	58829US002		

U.S. Patent Documents						
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Doc. Number-(Kind Code if Known)				
	A1	US- 6,560,395 B1	05-06-2003	Shahid		
	A2	US- 5,006,201	04-09-1991	Kaukeinen		
	А3	US-				
	A4	US-				
	A5	US-				
	A6	US-				
	A7	US-				
	A8	US-				
	A9	US-				
	A10	US-				
	A11	US-				

	Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation
		Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)
	B1	JP	2002 341209 A	11-27-2002	Abstract only		
	B2	JP	06 063483 A	03-08-1994	Abstract only		
	В3	JP	54 037766 A	03-20-1979	Abstract only		
	B4	EP	0 978 744 A2	02-09-2000			
	B5						
	В6						
	В7						

OTHER DOCUMENTS				
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)	
	C1	International Search Report for PCT/US2004/028868		
	C2			
	С3			

*E	Yа	m	in	er

**Date Considered:** 

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